

FLORIDA STATE UNIVERSITY

POLICY 7A-14

INVESTIGATOR ELIGIBILITY

Responsible Executive: Gary K. Ostrander, Vice President for Research

Approving Official: Gary K. Ostrander, Vice President for Research

Effective Date: February 10, 2011

Revision History: Updated June 10, 2014
Revised: April 24, 2015
Revised: May 20, 2015

I. Introduction

This document establishes who can qualify as a principal investigator or co-investigator for extramural funding through Florida State University.

II. Policy

All proposals for extramural funding must carry as principal investigator or co-investigator at least one person holding one of the following academic ranks at Florida State University.

JOB CODE	JOB CODE DESCRIPTION	TITLE
90019S	Professor 9 Mo SAL	Professor
9001AS	Professor 12 MO SAL	Professor
9001EX	Professor Exec Service	Professor Executive Service
90029S	Assoc Professor 9 Mo SAL	Associate Professor
9002AS	Asoc Professor 12 Mo SAL	Associate Professor
90039S	Asst Professor 9 Mo SAL	Assistant Professor
9003AS	Asst Professor 12 Mo SAL	Assistant Professor
90099S	Eminent Scholar 9 Mo SAL	Eminent Scholar
9009AS	Eminent Scholar 12 Mo SAL	Eminent Scholar
9016AS	Univ Sch Professor 12 Mo SAL	University School Professor
9016BS	Univ Sch Professor 10 Mo SAL	University School Professor
9017AS	Univ Sch Asoc Prof 12 Mo SAL	University School Associate Professor
9017BS	Univ Sch Asoc Prof 10 Mo SAL	University School Associate Professor
9018AS	Univ Sch Asst Prof 12 Mo SAL	University School Assistant Professor
9018BS	Univ Sch Asst Prof 10 Mo SAL	University School Assistant Professor
90539S	Univ Librarian 9 Mo SAL	University Librarian
9053AS	University Librarian 12 Mo SAL	University Librarian
9054AS	Assoc Univ Librarian 12 Mo SAL	Associate University Librarian
9055AS	Asst Univ Librarian 12 Mo SAL	Assistant University Librarian
90809S	Research Faculty I 9 Mo SAL	Other Faculty
9080AS	Research Faculty I 12 Mo SAL	Other Faculty
90819S	Research Fac II 9 Mo SAL	Other Faculty

9081AS	Research Fac II 12 Mo SAL	Other Faculty
90829S	Research Fac III 9 Mo SAL	Other Faculty
9082AS	Research Fac III 12 Mo SAL	Other Faculty
91209S	*Assoc In 9 Mo SAL	Associate In
9120AS	*Assoc In 12 Mo SAL	Associate In
91219S	*Asst In 9 Mo SAL	Assistant In
9121AS	*Asst In 12 Mo SAL	Assistant In
9150AS	Curator 12 Mo SAL	Curator
9151AS	Assoc Curator 12 Mo SAL	Associate Curator
9152AS	Asst Curator 12 Mo SAL	Assistant Curator
91609S	Scholar/Scientist 9 Mo SAL	Scholar/Scientist
9160AS	Scholar/Scientist 12 Mo SAL	Scholar/Scientist
91619S	Asoc Schlar/Scientist 9 Mo SAL	Associate Scholar Scientist
9161AS	Asoc Scholar/Scientist 12 Mo SAL	Associate Scholar Scientist
91629S	Asst Scholar/Sci 9 Mo SAL	Assistant Scholar Scientist
9162AS	Asst Scholar/Sci 12 Mo SAL	Assistant Scholar Scientist
91659S	*Sr Research Associate 9 Mo SAL	Other Faculty
9165AS	*Sr Research Associate 12 Mo SAL	Other Faculty
91669S	*Research Asoc 9 Mo SAL	Research Associate
9166AS	*Research Asoc 12 Mo SAL	Research Associate
91679S	*Associate in Research 9 Mo SA	Other Faculty
9167AS	*Associate in Research 12 Mo SA	Other Faculty
91689S	*Assistant in Research 9 Mo SAL	Other Faculty
9168AS	*Assistant in Research 12 Mo SAL	Other Faculty
91999S	Faculty Administrator 9 Mo SAL	Faculty Administrator
9199AS	Faculty Admin 12 Mo SAL	Faculty Administrator

While it is the intent of this policy that all proposals for extramural funding carry as principal investigator (PI) or co-investigator at least one person holding one of the above noted academic ranks at FSU, we do not wish to stand in the way of potentially successful grant submissions. We recognize that in some areas of the University, job codes/job code descriptions/titles do not properly reflect the true teaching or research status of the employee.

** The department chair/director and/or dean shall make the determination as to whether individuals in these job codes have the appropriate qualifications and job responsibilities to serve as a PI or Co-PI.*

If allowed by the sponsoring agency certain exceptions to the above policy may be made (e.g., **Emeritus, adjunct, research associates, masters and doctoral candidates, visiting**, etc.) with the approval of the chair/director, dean, and the approval of the Vice President for Research.

In ALL cases, by signing the FSU Proposal Transmittal Form or approving the proposal electronically in OMNI, the department head/chair and dean (or designees) certify that they approve the eligibility of the principal investigator.

Proposals are initiated by faculty who will be performing the respective research or education program, but are submitted to the intended funding agency in the name of Florida State

University on behalf of the principal investigator. Grants or contracts, if awarded, are made to the University and not to the individual. **It is, therefore, a requirement that the proposal prepared by the investigator be submitted under the signature of the duly authorized University official, FSU's Vice President for Research.**

There are several internal FSU funding programs (e.g. CRC programs) and numerous external funding programs traditionally available to FSU faculty. It is the desire of the Office of the Vice President for Research, Sponsored Research Administration, and the FSU Research Foundation, that ALL proposals, whether internal or external, bring extramural funding to FSU. It is from our recovery of indirect costs associated with most extramural funding that Sponsored Research & Development (SRAD) dollars accumulate for distribution to various entities at FSU in support of research programs.

FAMU-FSU College of Engineering faculty members may apply for FSU SRAD-supported programs, such as CRC, **if** the principal investigator is FSU-paid or has obtained external funding administered through FSU's Sponsored Research Office. For proposals to external funding agencies, faculty in the FAMU-FSU College of Engineering should review the procedures specific to their college.

Therefore, simply stated, if you work at FSU, are paid by FSU, and are likely considered eligible to apply for grants by an external funding agency, then we encourage you to do so through the Office of the VP Research for CRC programs and through Sponsored Research Administration (SRA) or FSU Research Foundation (FSURF) for all other funding agencies.

We want to help you be successful in your efforts to attract funding for your research and creative activity. If your chair and dean, or equivalent entities, are in support of your proposal and thus sign off on your proposal, we welcome the opportunity to assist you.

Send comments or questions to SRA-Pre@fsu.edu.

III. Legal Support, Justification, and Review of this Policy

Fla. Stat. 1004.22.

This policy will be reviewed periodically and updated when changes are necessary.

Gary K. Ostrander, Vice President for Research

May 20, 2015