Significant Financial Interest Disclosure Form

Faculty/Staff Name ________________________________________________
Department/Unit ________________________________________________
Proposal Submitted to ____________________________________________

I am disclosing the following significant financial interests (check one) and attaching supporting documentation that identifies the business enterprise or entity involved and the nature and amount of the interest:

("Significant financial interests" are defined as interests valued at greater than $10,000 or an equity or ownership interest of more than five percent held by an Investigator and the Investigator’s spouse or dependent children. See page 1 for exempt interests.)

___ Salary or other payment for services (e.g. consulting fees or honoraria).
___ Equity interests (e.g., stocks, stock options, or other ownership interests).
___ Intellectual property rights (e.g., patents, copyrights, and royalties from such rights).
___ Other significant financial interest of the Investigator that could possibly affect or be perceived to affect the results of the NSF/PHS funded research or educational activities.

Further I agree:

To update this disclosure during the period of the award, either on an annual basis, or as new reportable significant financial interests are obtained.
To cooperate in the development of a Memorandum of Understanding (MOU) that constitutes a conflict of interest “resolution plan”.
To comply with any conditions or restriction imposed by the University to manage, reduce, or eliminate conflicts of interest or forfeit the award.

Signed: ___________________________ Date: __________

I have reviewed the significant financial interest disclosure and believe that it will be possible to develop and execute, prior to the expenditure of any funds under the award, a MOU to manage, reduce or eliminate any conflict of interest; and, therefore, I recommend that the proposal be submitted to the agency at this time.

Dean/Vice President: ___________________________ Date: __________

NOTE: A copy of this form, as finally executed, is forwarded by the Dean/Vice President to the Office of the Dean of Faculties for the permanent file. In addition, the activity reported here must be reported on a Florida State University Outside Activity Statement Form (FSU Form FOA 802) under the procedures of Section 4 of Faculty Handbook 2008.