Chart 4: Does Exemption for Tests, Surveys, Interviews, Public Behavior Observation Apply?

From Chart 2

Does the research involve only the use of educational tests, survey procedures, interview procedures, or observation of public behavior?

Yes: Go to Chart 12

No: Does the research involve a vulnerable population such as: prisoners, children, decisionally impaired, pregnant women, fetuses, neonates?

Yes: Research is not exempt.

No: Is the information obtained recorded in such a manner that human subjects can be identified, directly or through identifiers linked to the subjects; and could any disclosure of the human subjects' responses outside the research reasonably place the subjects at risk of criminal or civil liability or be damaging to the subjects' financial standing, employability, or reputation?

Yes: Research is not exempt.

But: Are the human subjects elected or appointed public officials or candidates for public office? (Applies to senior officials, such as mayor or school superintendent rather than a police officer or teacher.)

Yes: Does any Federal statute require without exception that the confidentiality of personally identifiable information will be maintained throughout the research and thereafter?

Yes: Research is exempt.

No: Research is not exempt.

No: Research is exempt.