

Guidelines for Survival Rodent Surgery

Scope: As articulated in the *Guide for the Care and Use of Laboratory Animals*, "successful surgical outcomes require appropriate attention to presurgical planning, personnel training, anesthesia, aseptic and surgical technique, assessment of animal well-being, appropriate use of analgesics, and animal physiologic status during all phases of a protocol involving surgery and postoperative care." The *Guide* further states that "surgical outcomes should be continually and thoroughly assessed to ensure that appropriate procedures are followed and timely corrective changes are instituted" and that "such assessments rely on continuing communication among technical staff, investigators, veterinarians, and the IACUC."

The guidelines prescribed below are intended to promote successful surgical outcomes, alleviate animal pain and distress, and ensure programmatic compliance with regulatory and accreditation standards. These guidelines apply to all surgical procedures performed on rodents where animals are expected to recover from anesthesia. Prior to performing any survival surgery techniques on rodents, an approved Animal Use Protocol (AUP) must be in place with descriptions of the surgical procedures to be performed and the anesthesia/analgesia that will be used pre-emptively, during and post-procedure. Personnel must also be appropriately trained. Specific procedures to accomplish these guidelines can be obtained from a Laboratory Animal Resources (LAR) veterinarian. Any deviations from the following guidelines must be described in an AUP and reviewed and approved in advance by the Florida State University Animal Care and Use Committee (ACUC).

<u>General</u>: It is important to note that rodents do not vomit, so it is not necessary to fast them prior to surgery. The following principles described in the *Guide for the Care and Use of Laboratory Animals* apply to rodent surgery.

- Appropriate pre-operative and post-operative care of animals in accordance with established veterinary medical and nursing practices are required.
- A designated animal procedure space for rodent surgeries is required; for example, a
 location within a procedure room or laboratory space free from clutter and easily
 disinfected prior to the surgical procedure may be used. During the surgery session,
 the area should be dedicated to rodent surgery such that cleanliness is ensured, and
 contamination is minimized at the time of use.
- All survival surgery will be performed by using aseptic procedures, including masks, sterile gloves, sterile instruments, and aseptic techniques. Clean gloves may be used for 'tips only' technique but sterile gloves are preferred.

The *Guide* states that it is important for research personnel to be appropriately qualified and trained in all procedures to ensure that good surgical technique is practiced. Good technique includes:

- Aseptic technique
- Gentle tissue handling
- Minimal dissection of tissue
- Maintain tissue hydration
- Appropriate use of instruments
- Effective hemostasis
- Use of suture materials and patterns or other wound closure techniques that minimize trauma and remain intact.

In addition to clinically sound techniques, a surgical plan should also consider the availability of personnel to provide anesthetic induction, aseptic preparation of the surgical site, and post- operative care appropriate to the surgical procedure. Investigators must assure that the challenges of consecutive surgeries within one work session are adequately addressed. While a written surgical plan is not required, appropriate considerations should be made for:

- Analgesia
- Preservation of corneal integrity/hydration
- Nutritional support
- Maintenance of body temperature
- Hydration

Definitions:

- Aseptic Technique: is used to reduce microbial contamination to the lowest possible practical level. It includes all procedures used to prevent the introduction of microbial organisms into the surgical wound.
- Major Surgery: Major survival surgery penetrates and exposes a body cavity, produces substantial impairment of physical or physiologic functions, or involves extensive tissue dissection or transaction (e.g., laparotomy, thoracotomy, joint replacement, craniotomy, sciatic nerve cuff, and limb amputation).
- Minor Surgery: Minor survival surgery does not expose a body cavity and causes little
 or no physical impairment (e.g. wound suturing, peripheral vessel cannulation,
 percutaneous biopsy, and most procedures routinely done on an "outpatient" basis in
 veterinary clinical practice). Animals recovering from these minor procedures typically
 do not show significant signs of post-operative pain, have minimal complications, and
 return to normal function in a relatively short time.
- **Sterilization**: The process whereby all microorganisms including spores are eliminated or destroyed. The criterion of sterilization is the failure of organisms to grow if a growth supporting medium is supplied.
- Disinfection: The chemical or physical process that involves the destruction of pathogenic organisms. All disinfectants are effective against vegetative forms of organisms, but not necessarily spores.

Procedures:

Personal Protective Equipment:

- Covering such as a clean lab coat or clean disposable worn over work clothes
- Mask (recommended, but not required)
- Gloves
 - Using sterile surgical gloves allows you to touch all areas of the sterile surgical field and surgical instruments with your gloved hand.

 Using clean exam gloves and a "tips-only" technique restricts you to using only the sterile working ends of the surgical instruments to manipulate the surgical field. The gloved, but not sterile, hand must never touch the working end of the instruments, the suture, suture needle, or any part of the surgical field.

Pre-Operative:

- Surgery should be conducted in a disinfected, uncluttered area that promotes asepsis
 during surgery. Hard surfaces such as tabletops should be disinfected using materials
 listed in Table 1 prior to setting up surgical area.
- After anesthetizing the animal, remove the hair from the surgical site by either clipping, plucking, or using a depilatory. If a depilatory is used, use must be described in the AUP. The depilatory and hair is removed by wiping the area one or more times with a water-moistened gauze pad or cloth. Care must be taken to ensure that any depilatory is removed from the surrounding fur. It is imperative that all traces of the depilatory cream be removed in order to avoid possible irritation from excessive exposure to the chemical agent.
- Administer analgesics (preemptive analgesia) as appropriate and approved in your AUP.
- Protect eyes from corneal desiccation by applying sterile ophthalmic ointment since anesthesia abolishes the blink reflex.
- Take measures to minimize hypothermia by providing heat via a recommended heat source (please see recommendation under Postoperative procedure)
- Prepare the surgical site(s) with an appropriate skin disinfectant. If using a stereotaxic
 frame, the rodent should be placed in the frame *before* the skin disinfectant is applied.
 When placing an animal in a stereotaxic frame, non-traumatic/non-perforating ear bars
 should be coated with a local anesthetic prior to insertion in the animal's ears.
 - Alternating disinfectants is more effective than using a single agent. For example, an iodophor scrub can be alternated three times with 70% alcohol or sterile saline, followed by a final soaking with a disinfectant solution. Alcohol, by itself, is not an adequate skin disinfectant.
 - Aseptic scrub skin preparation may contribute to hypothermia. Alternating with alcohol reduces body temperature but results in a rebound phase in which body temperature returns to baseline within a few minutes after application.
- Surgeons should wash and dry their hands before aseptically donning sterile gloves.
- Nitrile examination gloves can be either autoclaved or gas sterilized as an economical alternative to pre-packaged sterile surgical gloves. Multiple pairs of gloves can be autoclaved in the same pack, but care must be used to avoid contamination of the gloves during donning.
- The same gloves can be worn between surgeries under the following circumstances:
 - The surgeon's gloves have not become contaminated during respective surgeries or
 - The "tips-only" technique is used. Examples of ways to prevent glove contamination are to have another person assist the surgeon by recovering and prepping subsequent animals for surgery, have the surgeon anesthetize and prep all animals having surgery before donning the gloves that s/he will wear during the procedure, etc.
- When feasible, the surgical site should be draped aseptically with sterile material prior to making an incision to create a sterile surgical field. Draping is especially important when suture material will be used.
- Instruments, suture material, suture needle, etc. must never touch any surface outside
 of the sterile surgical field.

- When working alone and manipulation of non-sterile objects (e.g., anesthesia machines, stereotaxic knobs, microscopes, lighting, etc.) is required, apply autoclaved aluminum foil, sterile plastic covers or new Press-n-Seal coverings to the objects prior to beginning surgery.
- Consult with a LAR veterinarian to ensure that your surgery practices meet the standards of aseptic surgery.

Intra-Operative:

- The animal must be maintained in a surgical plane of anesthesia throughout the procedure.
 - o If using the pedal withdrawal reflex to test depth of anesthesia, the rear paw has been shown to be more reliable than the forepaw.
- Provide an external heat source (preferably a feedback-controlled, infrared, warm water or air- circulating heating device) throughout anesthesia and surgery. Hypothermia is a common cause of mortality in rodents undergoing a surgical procedure due to their high surface area to body mass ratio. Contact your veterinarian for information about alternative thermal support devices. Electric heating pads and heat lamps are not recommended because of their potential to cause burns.
- Begin surgery with sterile instruments and equipment (e.g. implants and catheters).
 Handle instruments and equipment aseptically.
- When using "tips-only" technique, the sterility of the instrument tips must be maintained throughout the procedure.
- The ACUC expects that intraoperative monitoring is performed for all survival procedures. Most intraoperative assessments use visual and manual means to assess animal condition, including toe pinch, blink response, heart rate, respiratory rate, and capillary refill time. Intraoperative monitoring records are not required for rodents.
- Ensure hemostasis and minimize blood loss.
- Close surgical wounds using appropriate techniques and materials.
 - Suture gauge selection: Use the smallest gauge suture material that will perform adequately.
 - Cutting and reverse cutting needles: Provide edges that will cut through dense, difficult to penetrate tissue, such as skin.
 - o Non-cutting, taper point or round needles: Have no edges to cut through tissue; used primarily for suturing easily torn tissues such as peritoneum or intestine.
- When surgical procedures are performed in series (batch surgeries), utilized instruments, suture material and sterile gloves for multiple animals may be considered. In general, the recommendations are:
 - o Instruments:
 - Begin with sterile instruments and utilize a "tips-only" technique to sterilize the tips of the instruments between each procedure using a hot bead sterilizer (follow the FSU ACUC Guidance on the Use of Glass (Hot) Bead Sterilizers).
 - Begin with sterile instruments and utilize a modified aseptic technique such as soaking instruments in 70% isopropyl alcohol between surgeries for two minutes. A new set of instruments should be used after 5 animals.
 - Clean instrument of blood and organic material using sterile water or 70% ethanol prior to bead sterilizer use or alcohol soak.
 - Assure instruments are cooled after bead sterilizing, before touching tissue (sitting at room temperature for several minutes or dipping in sterile saline). Rinse with alcohol after soaking in sterile saline or sterile water.
 - When performing batch surgeries, instruments may not be alternated between non-survival and survival surgeries without being thoroughly cleaned and

undergoing a primary form of sterilization prior to use with survival surgeries.

Gloves

- Begin with clean or sterile gloves and utilize a tips-only surgery technique to prevent cross-contamination
- Begin with sterile gloves and dip the fingers of the gloves in alcohol for 30 seconds between surgeries to sanitize them
- Consider changing gloves after every 5 animals or every cage of animals o Suture
 - When using the same suture pack across serial surgeries to close muscle or skin the animal should be draped and suture material must remain in the sterile field for a maximum of 5 animals.

Post-Operative:

- Move the animal to a warm, dry area and monitor during recovery. Unconscious animals must not be left unattended. All Animals must be monitored until they are able to achieve and maintain sternal recumbency.
- Use of an external heat source (preferably a feedback-controlled, infrared, warm water or air- circulating heating device) is recommended during post-operative recovery to prevent hypothermia. Regardless of heat source, ensure the animal can relocate to an area of the cage away from the heat source.
- Analgesia must be administered as described in the AUP.
- If appropriate and described in the AUP, give warm fluids and/or nutritional support. If significant blood loss occurred during surgery or if surgery was prolonged, notify a LAR veterinarian.
- On the day of surgery and immediately following the return of animals to the housing room, the surgeon or other designated laboratory personnel must post LAR-provided signage on the room's interior door which indicates that animals in the room have undergone survival surgery on that day. The standardized signage will be available on the interior door of all rodent housing rooms. In addition, all cages of animals that have received survival surgery must be clearly identified with either a LAR-provided "Surgery Date" sticker or a LAR-provided FSU Rodent Post Operative Record Form cage card.
- Animals must be monitored and evaluated post-operatively. Post operative records
 must be maintained in the animal holding room and available for LAR veterinarian,
 ACUC, and regulatory and accrediting agency review.
- Remove sutures or staples 7 to 10 days post-operatively after verifying that the wound has healed, unless exempted in the AUP.

Surgical Record:

- Creating and maintaining a surgical record with important pre-operative, surgical and
 post-operative information is required. The record must include the procedure and date,
 body weight on the day of surgery, pre-operative drugs, anesthetic and analgesic
 administration, wound closure removal, and any other treatments or information
 required per the approved animal use protocol.
 - There are two options for maintaining perioperative records. Records may be kept on separate 3" \times 5" cards maintained behind the cage card in the cage cardholder *OR* in a notebook which is kept in the animal room.
- Daily brief entries as the animal's conditions must be made for 7-10 days following surgery or until sutures or staples are removed, the animal dies or is euthanized, or the incision has healed (whichever occurs first). The reason for discontinuing observation of animals prior to the 7-10 period must be recorded in the perioperative record.

Table 1: Examples of Hard Surface Disinfectants

AGENT	EXAMPLES*	COMMENTS**	
Alcohols	70% ethyl alcohol 85% isopropyl alcohol	Contact time required is 15 minutes. Contaminated surfaces take longer to disinfect. Remove gross contamination before using.	
Quaternary Ammonium	Roccal®, Quatricide®	Rapidly inactivated by organic matter. Compounds may support growth of gram negative bacteria.	
Chlorine	(Clorox ® 10%	Corrosive. Presence of organic matter reduces activity. Chlorine dioxide must be fresh; kills vegetative organisms within 3 minutes of contact.	
Glutaraldehydes	Glutaraldehydes (Cidex® Cetylcide®, Cide Wipes®)	Rapidly disinfects surfaces.	
Phenolics	Lysol®, TBQ®	Less affected by organic material than other disinfectants.	
Chlorhexidine	Nolvasan® , Hibiclens®	Presence of blood does not interfere with activity. Rapidly bactericidal and persistent. Effective against many viruses.	
Hydrogen peroxide Peracetic acid Acetic acid		Contact time 10 minutes.	
*The use of common brand names as examples does not indicate a product endorsement			

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** Always follow manufacturer's instructions for dilution and expiration periods

Table 2: Examples of Skin Disinfectants

AGENT	*EXAMPLES	COMMENTS
lodophors	Wescodyne®	Reduced activity in presence of organic matter. Wide range of microbicidal action. Works best in pH 6-7.
Chlorhexidine	Nolvasan®, Hibiclens®	Presence of blood does not interfere with activity. Rapidly bactericidal and persistent. Effective against many viruses. Excellent for use on skin.
*The use of common brand names as examples does not indicate a product endorsement.		

Table 3: <u>Examples of Sterilization Methods For Surgical Instruments & Equipment</u> CDC Infection Control states that steam is the preferred method for sterilizing critical medical and surgical instruments that are not damaged by heat, steam, pressure, or

Labs should use mechanical, chemical, and/or biologic monitors to ensure the effectiveness of their sterilization process.

AGENT	*EXAMPLES	COMMENTS
Steam Sterilization (moist heat)	Autoclave	Effectiveness dependent upon temperature, pressure and time, e.g. 121°C for 15 min vs 131°C for 3 min. Appropriate sterilization indicators should be used to ensure sterility.
Dry Heat	Dry Chamber (static or forced air)	340°F for 60 minutes; 320°F for 120 minutes; 300°F for 150 minutes Instruments must be cooled before contacting tissue.
Glass/Hot Bead "Sterilizer"	Glass bead sterilizer	Not cleared by FDA as a sterilization device. Fast, but only sterilizes portion of instruments in full contact with glass beads. Must not be used with hollow instruments due to possibility for heated instruments to explode. Must not be used for survival surgeries involving gross contamination (e.g. gastrointestinal surgery). For survival surgeries, instruments must first be sterilized using another CDC recommended sterilization method.
Gas sterilization	Ethylene Oxide	Requires 30% or greater relative humidity for effectiveness against spores. Gas is irritating to tissue; all materials require safe airing time. Appropriate sterilization indicators should be used to ensure sterility.
Chlorine	Sterilant Levels of Chlorine dioxide (Clidox®, Alcide®) Sodium hypochlorite (Clorox® 10% solution)	Corrosive to instruments. Items must be clean and free of organic material. Instruments must be rinsed with sterile saline or sterile water before use.
Glutaraldehydes	Glutaraldehyde (Cidex®, Cetylcide®, Metricide®)	Several hours required for sterilization. Corrosive and irritating. Instruments must be rinsed with sterile saline or sterile water before use. Product expiration dates must be adhered to as per manufacturer's instructions.

Hydrogen peroxide Acetic acid	Klenz®	Several hours required for sterilization. Corrosive and irritating. Instruments must be rinsed with sterile saline or sterile water before use.
	Isopropanol	Alcohol is neither a sterilant or high-level disinfectant. May be acceptable for some procedures, if prolonged contact time are used. ^{19,20}

^{*}The use of common brand names as examples does not indicate a product endorsement. Note: Always follow manufacturer's instructions for dilution, exposure times and expiration Periods.

Table 4. Wound Closure Selection

MATERIAL*	CHARACTERISTICS AND FREQUENT USES	
Polyglactin 910 (Vicryl®), Polyglycolic acid (Dexon®)	Multifilament, Absorbable in 60-90 days; 25-50% loss of tensile strength in 14-21 days. Ligate or suture subcutaneous tissues where an absorbable suture is desirable. Not routinely recommended for skin closure due to high capillarity.	
Polydiaxanone (PDS®) or, Polyglyconate (Maxon®)	Monofilament, Absorbable in 6 months; 40% loss of tensile strength in 30-42 days. Ligate or suture tissues especially where an absorbable suture and extended wound support is desirable.	
Polypropylene (Prolene®)	Monofilament, Non-absorbable. Inert.	
Nylon (Ethilon ®)	Monofilament, Non-absorbable. Inert. General closure.	
Silk	Multifilament, Non-absorbable. (Caution: Tissue reactive and may wick microorganisms into the wound, so silk is not recommended for skin closure). Excellent handling. Preferred for cardiovascular procedures.	
Stainless Steel Suture/Wound Clips/Wound Staples	Non-absorbable. Requires instrument for application and removal.	
Cyanoacrylate (Vetbond®, Nexaband®, Tissue Mend®)	Skin glue. For non-tension bearing wounds.	
*The use of common brand names as examples does not indicate a product endorsement.		

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